

PHILIP T. HOFFMAN

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PERSONAL

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EDUCATION

Additional graduate work (Economics) California Institute of Technology, 1980-82.

Ph.D., History, Yale University, 1979.

M. Phil., History, Yale University, 1976.

Dissertation research, Université de Lyon II, Lyon, France, 1976-77.

M. A., Mathematics, University of California, Berkeley, 1971 (passed PhD exams and took leave of absence from PhD program in good standing after declining NSF Fellowship).

B. A., with highest honors in Mathematics, Harvard University, 1969.

HONORS, GRANTS AND AWARDS

NSF Grant, Co-Principal Investigator on Subcontract to UC Davis, (2004-2006), "Global Prices and Incomes, 1200-1950" (\$31,056); on subcontract to NBER, 2007-2009 (\$29,989).

Russell Sage Foundation, Co-Principal Investigator with Gilles Postel-Vinay and Jean-Laurent Rosenthal, Grant for 2002-2005 on “Trust Supporting Institutions and Economic Growth” (\$146,694).

Gyorgy Ranki Prize of the Economic History Association, 2001 for *Priceless Markets*. (Awarded for the “outstanding book in the Economic History of Europe, published in 1999 and 2000”).

Fellow, John Simon Guggenheim Memorial Foundation, 2001.

Allan Sharlin Prize of the Social Science History Association, 1997 for *Growth in a Traditional Society* (Awarded annually for “an outstanding book in Social Science History”).

Gyorgy Ranki Prize of the Economic History Association, 1997 for *Growth in a Traditional Society*. (Awarded for “the outstanding book in the Economic History of Europe, published between 1994 and 1996”).

NEH, Principal Investigator, Grant for Collaborative Research 1997-99 on “Notaries and Credit in Paris,” 1660-1870 (\$38,859).

Invited Fellow, Stanford Center for Advanced Study in The Behavioral Sciences, 1993-94 (declined for family reasons).

Arthur H. Cole Prize of the Economic History Association, 1992, for the article “Land Rents and Agricultural Productivity: The Paris Basin, 1450-1789.” (Given for “the most outstanding article published each year in the *Journal of Economic History*”).

Nancy Lyman Roelker Prize of the Sixteenth Century Studies Conference, 1992, for the article “Land Rents and Agricultural Productivity: The Paris Basin, 1450-1789.” (Awarded annually to “the best article in English on sixteenth-century French history”).

Visiting Fellow, Washington University, 1989.

Graves Award for Achievement in the Humanities, 1987.

John Gilmary Shea Prize of the American Catholic Historical Association, 1984, for the book *Church and Community in the Diocese of Lyon, 1500-1789*. (Given annually for the book “making the most distinguished contribution to the history of the Catholic Church in the broad sense of the term”).

George A. and Eliza Gardner Howard Foundation Fellowship, 1984.

John Randolph Haynes and Dora Haynes Foundation summer grant, 1984.

American Council of Learned Societies Grant, 1982.

National Endowment for the Humanities Grant, 1981.

Porter Prize, Yale University, 1980 (the highest award for a dissertation or other written work by a student in any of Yale's divisions).

## ACADEMIC EXPERIENCE

Executive Officer for the Humanities, 1995-2000.

From Lecturer to Professor of History and Social Science, California Institute of Technology, 1980-2003.

Richard and Barbara Rosenberg Professor of History and Social Science, 2003-2008.

Rea A. and Lela G. Axline Professor of Business Economics and Professor of History, 2008-.

## EDITORIAL BOARDS

Editor, *Journal of Economic History*, 2006-.

Member, Editorial Board, *The Journal of Historical Society*, 2006-.

Member, Editorial Board, *Historical Methods*, 1998-.

Member, Editorial Board, *Explorations in Economic History*, 1996-.

Member, Editorial Board, *Journal of Economic History*, 1989-1993.

Member, Advisory Board, Cambridge Studies in Economics, Cognition, and Society, 2009-.

## OTHER PROFESSIONAL ACTIVITIES

Ranki Prize Committee, Economic History Association, 2005, 2007, 2008.

Sharlin Prize Committee, Social Science History Association, 1999.

NEH Grant Panelist, 1998.

Roelker Prize Committee, Sixteenth-Century Studies Conference, 1994.

Member, Dissertation Prize Committee, Economic History Association, 1988-1989.

Member, Board of Trustees, Cliometric Society, 2003-2007.

Various other committees of the Cliometric Society, the Economic History Association, and the Social Science History Association.

Manuscript referee for *Agricultural History*; *American Economic Review*; *American Journal of Sociology*; *Economic Development and Cultural Change*; *Economic History Review*; *Economic Inquiry*; *Economics and Politics*; *European Review of Economic History*; *Explorations in Economic History*; *French Historical Studies*; *Historical Methods*; *Journal of Economic History*; *Journal of Early Modern History*; *Quarterly Journal of Economics*; *Journal of Institutional and Theoretical Economics*/*Zeitschrift für die gesamte Staatswissenschaft*; *Journal of Interdisciplinary History*; *Journal of Law, Economics, and Organization*; *Journal of Modern History*; *Quarterly Journal of Economics*; Cambridge University Press; Cornell University Press; Holt, Rinehart, and Winston; Johns Hopkins University Press; Princeton University Press; University of California Press; W. W. Norton Company; Stanford University Press; and Yale University Press.

Grant and Fellowship Referee, Guggenheim Foundation Fellowships, National Endowment for the Humanities, National Science Foundation, Social Sciences and Humanities Research Council of Canada.

## PUBLICATIONS<sup>1</sup>

### Books

*Church and Community in the Diocese of Lyon, 1500-1789* (Yale University Press, 1984).<sup>[\*]</sup>

*Growth in a Traditional Society: The French Countryside, 1450-1815* (Princeton University Press, 1996; paperback edition, 2000).<sup>[\*]</sup>

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, *Priceless Markets: The Political Economy of Credit in Paris, 1660-1870* (University of Chicago Press, 2000).<sup>[\*]</sup>

\_\_\_\_\_. *Des Marchés sans prix: L'économie politique du crédit à Paris, 1660-1870* (French edition of *Priceless Markets*) (Ecole des Hautes Etudes en Sciences Sociales, 2001).

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, *Surviving Large Losses: Financial Crises, the Middle Class, and the Development of Capital Markets* (Harvard University Press, 2007).<sup>[\*]</sup>

### Edited Books

*Fiscal Crises, Liberty, and Representative Government, 1450-1789*, eds. Philip T. Hoffman and Kathryn Norberg (Stanford University Press, 1994; paperback edition, 2001).<sup>[\*]</sup>

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<sup>1</sup>[\*] for refereed publications, <sup>[\*\*]</sup> for conference issue refereed by editor and commentators

*Finance, Intermediaries, and Economic Development*, eds. Stanley Engerman, Philip Hoffman, Jean-Laurent Rosenthal, and Ken Sokoloff (Cambridge University Press, 2003).<sup>[\*]</sup>

## Articles

“The Church and the Rural Community in the Sixteenth and Seventeenth Centuries: Aspects of the Social History of the Counter Reformation,” *Proceedings of the Western Society for French History* 6 (1979): 46-54.<sup>[\*\*]</sup>

“Le rôle social des curés de l’ancien diocèse de Lyon,” *Bulletin d’histoire économique et sociale de la région lyonnaise* (1980): 1-18.<sup>[\*]</sup>

“Sharecropping and Investment in Agriculture in Early Modern France,” *The Journal of Economic History* 42 (1982): 155-59.<sup>[\*\*]</sup>

“Wills and Statistics: Tobit Analysis and the Counter Reformation in Lyon,” *Journal of Interdisciplinary History* 14 (1984): 813-34.<sup>[\*]</sup>

“The Economic Theory of Sharecropping in Early Modern France,” *The Journal of Economic History* 44 (1984): 309-19.<sup>[\*\*]</sup>

“Taxes and Agrarian Life in Early Modern France: Land Sales, 1550-1730,” *The Journal of Economic History* 46 (1986): 37-55.<sup>[\*]</sup>

“Institutions and Agriculture in Old-Regime France,” *Politics and Society* 16 (1988): 241-64. Revised version in *Journal of Institutional and Theoretical Economics/Zeitschrift für die gesamte Staatswissenschaft* 145 (1989): 166-81.<sup>[\*]</sup>

“Land Rents and Agricultural Productivity: The Paris Basin, 1450-1789,” *The Journal of Economic History* 51 (1991): 771-805.<sup>[\*]</sup>

“Un nouvel indice de la productivité agricole: Les baux de Notre Dame de Paris, 1450-1789,” *Histoire et mesure* 6 (1991): 215-43.<sup>[\*]</sup>

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, “Private Credit Markets in Paris, 1650-1840,” *The Journal of Economic History* 52 (1992): 293-306.<sup>[\*\*]</sup>

“Early Modern France: 1450-1700,” in *Fiscal Crises, Liberty, and Representative Government, 1450-1789*, eds. Philip T. Hoffman and Kathryn Norberg (Stanford University Press, 1994), pp. 226-52, 362-71.<sup>[\*]</sup>

Philip T. Hoffman and Kathryn Norberg, “Introduction,” in *Ibid.* (Stanford University Press, 1994), pp. 1-5, 315.<sup>[\*]</sup>

\_\_\_\_\_. “Conclusion,” in *Ibid.* (Stanford University Press, 1994), pp. 299-310, 379-80. <sup>[\*]</sup>

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, “Economie et politique: Les marchés du crédit à Paris 1750-1840,” in *Annales: Histoire, Sciences Sociales* 49 (1994): 65-98. <sup>[\*]</sup>

\_\_\_\_\_. “Redistribution and Long Private Debt in Paris, 1660-1726,” *The Journal of Economic History* 55 (1995): 256-84. <sup>[\*]</sup>

“Lyon,” *Encyclopedia of the Reformation*, ed. Hans J. Hillerbrand, et al., vol. 2 (Oxford University Press, 1996), 478-80.

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, “Deposits, Bankruptcy, and Notarial Credit in Late Eighteenth-Century Paris,” in *Des personnes aux institutions: Réseaux et cultures du crédit du XVIe au XXe siècle en Europe*, ed. Laurence Fontaine et al. (Louvain-la-Neuve, 1997), 245-67.

Philip T. Hoffman and Jean-Laurent Rosenthal, “The Political Economy of Warfare and Taxation in Early Modern Europe: Historical Lessons for Economic Development,” in *The Frontiers of the New Institutional Economics*, ed. John N. Drobak and John Nye (Academic Press, 1997), 31-55. <sup>[\*]</sup>

“Agricultural Productivity and the Food Supply in France, 1500-1815,” in *The Biological Standard of Living in Comparative Perspective: Europe*, ed. John Komlos and Joerg Baten (Stuttgart: Steiner Verlag, 1998), pp. 509-25.

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, “Overcoming Asymmetric Information in Financial Markets: The Case of Paris, 1751,” in *Journal of Institutional & Theoretical Economics* 154 (September, 1998): 499-530. <sup>[\*]</sup>

\_\_\_\_\_. “Information and Economic History: How the Credit Market in Old-Regime Paris Forces Us to Rethink the Transition to Capitalism,” *American Historical Review* 104, no. 2 (February 1999): 69-94. <sup>[\*]</sup>

Philip T. Hoffman, “An Author’s Second Thoughts,” in “Forum: Revisiting ‘Growth in a Traditional Society,’” *Historical Methods* 33 (Winter, 2000): 46-50.

Philip T. Hoffman and Jean-Laurent Rosenthal, “New Work in French Economic History,” *French Historical Studies* 23, no 3 (Summer 2000): 439-53.

Philip T. Hoffman, “High Finance Parisian Style,” *Humanities: The Magazine of the National Endowment for the Humanities* 21, no. 4 (July-August, 2000): 40-43.

Philip T. Hoffman, David Jacks, Patricia Levin, and Peter Lindert, “Prices and Real Inequality in Europe since 1500,” *The Journal of Economic History* 62, no. 2 (June 2002): 322-55. <sup>[\*]</sup>

Philip T. Hoffman, "Rural, Urban, and Global Economies (1450-1650)," in *France 1500-1645*, ed. Mack Holt, (The Short Oxford History of France) (Oxford University Press, 2002), pp. 62-98. <sup>[\*]</sup>

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, "No Exit: Notarial Bankruptcy and the Evolution of Financial Intermediation in Paris," in *Finance, Intermediaries, and Economic Development*, eds. Stanley Engerman, Philip Hoffman, Jean-Laurent Rosenthal, and Ken Sokoloff (Cambridge University Press, 2003), pp. 75-110. <sup>[\*\*]</sup>

Stanley Engerman, Philip T. Hoffman, Jean-Laurent Rosenthal, and Kenneth Sokoloff, "Introduction," in *Ibid.*, pp. 1-7. <sup>[\*\*]</sup>

"Le Roy Ladurie, Emmanuel," *The Oxford Encyclopedia of Economic History*, ed. Joel Mokyr, 5 vols. (New York, 2003), 3: 299.

"France: Early Modern Period," *The Oxford Encyclopedia of Economic History*, ed. Joel Mokyr, 5 vols. (New York, 2003), 2: 363-66.

"Agriculture: Property Rights and Tenure Systems," *The Oxford Encyclopedia of Economic History*, ed. Joel Mokyr, 5 vols. (New York, 2003), 1: 88-92.

"Agriculture," *Europe 1450-1789 Encyclopedia of the Early Modern World*, ed. Jonathan Dewald (New York, 2004).

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, "Révolution et évolution: Les marchés de crédit notarié en France, 1780-1840," *Annales: Histoire, Sciences Sociales*, 59 (March-April 2004): 387-424. <sup>[\*]</sup>

Philip T. Hoffman, David Jacks, Patricia Levin, and Peter Lindert, "Sketching the Rise of Real Inequality in Early Modern Europe," in *Living Standards in the Past: New Perspectives on Well-Being in Asia and Europe*, eds. Robert Allen, Thomas Bengtsson, and Martin Dribe (Oxford, 2005), pp. 131-72.

"Opening Our Eyes: History and the Social Sciences," *The Journal of the Historical Society* 6 (2006): 93-118. <sup>[\*]</sup>

"The Church in Economy and Society, 1660-1815," *The Cambridge History of Christianity*, vol. 7, eds. Stewart J. Brown and Timothy Tackett (Cambridge, 2007), pp. 72-86.

Philip T. Hoffman and Jean-Laurent Rosenthal, "Remembrance of Crises Past," *Engineering & Science* 71 (Winter 2008): 20-25.

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, "Is Trust an Ultimate Cause? Its Role in the Long Run Development of Financial Markets in France," forthcoming in *Sage Foundation Capstone Trust Initiative Volume*, eds., Karen Gook, Russell Hardin, and Margaret Levi. <sup>[\*]</sup>

Philip T. Hoffman, "Skills, Experience, and the Diffusion of Military Technology in Early Modern Europe," under consideration for edited volume in *Technology and Capital Formation in the East and West*.

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, "History, Geography, and the Markets for Mortgage Loans in Nineteenth-Century France," forthcoming in volume in honor of the late Ken Sokoloff.

Philip T. Hoffman, "Agrarian Change in France," *The Growth of the Market and Economic Development Before the Industrial Revolution*, ed. Robert Brenner, Jan de Vries, and Eric Thoen (submitted, Cambridge University Press).<sup>[\*]</sup>

"Prices, The Military Revolution, and Europe's Comparative Advantage in Violence," revised version resubmitted to the *Economic History Review*.<sup>[\*]</sup>

### **Bibliographies, Review Articles, Long Book Reviews, Notes, & Short Dictionary Entries**

Review of the statistics and methodology of Richard Hellie's *Slavery in Russia*, in *Journal of Modern History* 57 (1985): 179-84.

"Comments on Craig, Hood, and Barbezat," *The Journal of Economic History* 50 (1990): 444-46.

Review of René Baehrel, *Une croissance: La Basse-Provence rurale de la fin du XVIIe siècle à 1789*, in *Agricultural History* 64 (1990):117-19.

"NBER Franco-American Conference," with Maggie Levenstein, in the *Newsletter of the Cliometric Society* 8, no. 3 (1993): 19-23.

"France 1450-1789: Economy and Society," with Keith Luria, in *The American Historical Association's Guide to Historical Literature*, ed. Mary Beth Norton, 2 vols. (Oxford, Oxford University Press, 1995), 1: 837-41.

"Summary of Papers and Discussion from the 35th Annual Cliometric Conference," with Lynn Kiesling, *Newsletter of the Cliometric Society* 10, no. 2 (1995): 1, 16-21.

"Garfield Goose," *Encyclopedia of Chicago History*, eds. James Grossman and Janice Reiff (Chicago, 2004).

### **Working Papers**

"Pious Bequests in Wills: A Statistical Analysis," California Institute of Technology Working Paper 393 (1981).

“Sharecropping and Investment in Agriculture in Early Modern France,” California Institute of Technology Working Paper 406 (1981).

“Social History and Taxes: The Case of Early Modern France,” California Institute of Technology Working Paper 495 (1983).

“Economic Theory and Sharecropping in Early Modern France,” California Institute of Technology Working Paper 496 (1983).

“Social History and Agricultural Productivity: The Paris Basin 1450-1789,” California Institute of Technology Social Science Working Paper 742 (1990).

“Land Rents and Agricultural Productivity: The Paris Basin, 1450-1789,” California Institute of Technology Working Paper 752 (1991).

Robert J. Cull, Philip T. Hoffman, and Eric Hughson, “New Evidence for an Old Controversy: Scattered Landholdings and Open Fields,” California Institute of Technology Social Science Working Paper 788 (1992).

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, “What Do Notaries Do? Overcoming Asymmetric Information in Financial Markets: The Case of Paris, 1751,” UCLA Economics Working Paper (October 1994).

Philip T. Hoffman, David Jacks, Patricia Levin, and Peter Lindert, “Prices and Real Inequality in Europe since 1500,” UC Davis Agricultural History Center Working Paper 102 (October 2000).

Philip T. Hoffman, Why is It That Europeans Ended up Conquering the Rest of the Globe? Prices, the Military Revolution, and Western Europe’s Comparative Advantage in Violence, UC Davis Global Prices and Income History Group, Working Paper 3 (January 2006).

Philip T. Hoffman, “The Politics and Economics of Europe’s Comparative Advantage in Violence,” UC Davis Global Prices and Income History Group, Working Paper (January 2009).

## **WORK IN PROGRESS**

Philip T. Hoffman, Gilles Postel-Vinay, and Jean-Laurent Rosenthal, book and articles on the development of capital market in France.

Philip T. Hoffman, *Why did Europeans Conquer the World?* Book under contract to Princeton University Press.

Philip T. Hoffman, *Why Europe?* Book on the great divergence in world power and living standards, 1500-2000 (under contract to Cambridge University Press).

Book on European Economic History (proposal invited by Wiley).

## **PAPERS GIVEN AT MEETINGS**

Society for French Historical Studies  
Western Society for French History  
American Historical Association  
American Political Science Association  
Social Science History Association  
Economic History Association  
All UC Economic History Group  
Society for Sixteenth-Century Studies  
American Economics Association  
World Congress of Cliometrics  
National Bureau of Economic Research  
Cliometric Society  
Center for Economic Policy and Research (CEPR) (Gerzensee, Switzerland)  
The Historical Society  
The World Economic History Conference

## **TALKS GIVEN AT:**

University of Arizona  
Bogazici University (Istanbul)  
University of British Columbia  
University of California, Davis  
University of California at Los Angeles  
University of California, San Diego  
Caltech (Watson Lecture, 2006)  
Canadian Network for Economic History (keynote address)  
University of Copenhagen  
École des hautes études en sciences sociales (Paris)  
FUCAM (Mons, Belgium)  
Harvard University  
University of Illinois  
University of Indiana  
University of Leicester, UK  
London School of Economics  
Université de Lyon II  
The University of Maryland  
University of Munich

Northwestern University  
Oxford University  
Princeton University  
Rutgers University  
Stanford University  
University of Southern California  
The University of Utrecht  
Washington University, St. Louis  
The University of Wisconsin  
Yale University

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